Sim & McBurney

Patent and Trade-mark Agents



330 University Avenue 6th floor Toronto, Canada M5G 1R7

Telephone (416) 595-1155 Fax (416) 595-1163 MICHAEL I. STEWART
ROGER T. HUGHES, Q.C.
TONI POLSON ASHTON
JOHN H. WOODLEY
KENNETH D. MCKAY
TIMOTHY M. LOWMAN
STEPHEN M. LANE
ARTHUR B. RENAUD
STEPHEN J. PERRY
PATRICIA A. RAE
DAVID A. RUSTON
L.E. TRENT HORNE
LOLA A. BARTOSZEWICZ

THOMAS T. RIEDER
WARREN J. GALLOWAY
URSULA M. MCGUINNESS
ROBERT C. LIANG
ELIZABETH VALENTINA
LESLEY M. MORRISON
GEOFFREY B.C. DE KLEINE

SENIOR CONSULTANTS PETER W. MCBURNEY BRENDA L. BOARDMAN TECHNICAL ASSISTANTS

KIMBERLY A. MCMANUS, Ph.D.
KAMLEH J. NICOLA, B. Sc. LL.B.
WENDY M. NOSS, B.A., LL.B.
GREGORY W. BANKS, LL.B.
COBY A. B. SCHNEIDER, B.A., LL.B.

Please Quote Our ref. 1038-1210 MIS:jb

Your ref.

,, _{E.,,} 239

Writer's Ext.

E-mail:

mistewart@sim-mcburney.com

March 27, 2002

Via Courier

The Commissioner of Patents and Trademarks Box Sequence Washington, DC 20231 U.S.A.

Dear Sir:

RE: US Patent Application No: 10/036,507

Applicant: Robert C. Brunham

Filed: January 7, 2002

Title: DNA IMMUNIZATION AGAINST CHLAMYDIA INFECTION

In response to the Notification to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence, submitted herewith are:

- Sequence Listing in hard copy and computer-readable forms. It is hereby stated that the hard copy and computer-readable form are the same and involve no new matter.
- 2. Voluntary Amendment
- Copy of Notice

Yours very truly,

Michael I. Stewart Reg. No. 24,973

Enclosure(s)



M-E

03CO Box/seq.

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031

Under the Paperw	ork Reduction Act of 1995, no	persons are required	Patent and Trader	oved for use through 10/31/2002. OMB 0651-0031 mark Office: U.S. DEPARTMENT OF COMMERCE ion unless it displays a valid OMB control number.
TRANSMITTAL FORM (to be used for all correspondence after initial filing)			Application	10/036,507
			Filing Date	January 7, 2002
			First Named	Robert C. Brunham
			Group Art Unit	
			Examiner Name	
Total Number of Pages in This Submission 27			Attomey Docket Number	1038-1210 MIS:jb
ENCLOSURES (check all that apply)				
Fee Transmittal Form Fee Attached Amendment / Response After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts		Continue Continue	ent Papers optication) s) p-related Papers of Convert a al Application Attorney, Revocation of Correspondence Disclaimer for Refund inber of CD(s)	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): 1. Covering Letter 2. Voluntary Amendment 3. Sequence Listing 4. Diskette containing Sequence Listing 5. Copy of Notice 6. Postcard
under 37 CFR 1.52 or 1.53				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm or Individual name	Michael I. Stewart (Reg. No. 24,973)			
Signature	ignature hulmd			
Date March 27, 2002				
CERTIFICATE OF MAILING				
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:				
Typed or printed name				
A			l Data	· 1

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/036,507

01/07/2002

Robert C. Brunham

1038-1210 MIS

CONFIRMATION NO. 6028

24223 SIM & MCBURNEY 330 UNIVERSITY AVENUE 6TH FLOOR TORONTO, ON M5G 1R7 CANADA

FORMALITIES LETTER

OC000000007414470

Date Mailed: 02/04/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY